

AccountScope Enterprise Edition

Enterprise Financial Review Infrastructure

For Law Firms, Forensic Professionals, and Private Client Teams
Security, AI Governance, and Architecture Technical Documentation

Section 1 — Platform Overview

AccountScope is a professional-grade financial analysis workstation engineered to automate financial disclosures, needs budget schedules, and matrimonial asset tracing. Operating at the intersection of complex data extraction and rigorous compliance, the platform provides boutique family law practices, national law firms, forensic accounting practices, and enterprise legal departments with automated statement extraction, validation, and audit logging.

Understanding that financial remedy files contain highly sensitive Personal Identifiable Information (PII) subject to UK GDPR and Solicitors Regulation Authority (SRA) technology outsourcing guidelines, AccountScope has been architected with strict decoupling of data storage and processing layers. The platform offers complete AI provider neutrality, multiple secure deployment topologies, and comprehensive cryptographic proof paths to satisfy IT procurement desks.

CORE ARCHITECTURAL PILLARS

- **Deployment Flexibility:** Hosted UK SaaS, Hybrid Private AI, Private Cloud, or Self-Hosted environments.
- **AI Provider Neutrality:** Complete abstraction layer supporting custom endpoints (Azure, AWS, local gateways).
- **Zero Data Retention:** Strict API bindings preventing third-party model training or logging of client data.
- **Forensic Audit Trails:** Cryptographic hashes (SHA-256) of statement extractions to verify data integrity.

Section 3 — AI Processing Options

To comply with strict data minimisation mandates and client confidentiality obligations, AccountScope abstracts its classification and analysis engines from specific vendors. Firms can choose their AI routing dynamically in their settings panel:

AccountScope Managed AI

Standard OpenAI Zero-Data-Retention (ZDR) endpoints. All personal identification is dynamically scrubbed before transmission. Model fine-tuning is blocked contractually.

Bring Your Own OpenAI (BYO)

Direct secure relay using your firm's commercial OpenAI API key, applying your specific business privacy terms.

Azure OpenAI Private Route

Connects directly to models deployed inside your firm's own Microsoft Azure tenant, ensuring data residency within UK borders.

AWS Bedrock Private Route

Routes categorization and anomalies to Claude or Llama instances running inside the firm's own AWS London environment.

Microsoft Copilot Studio Connectors

Routes transaction lines as variables to custom Microsoft Copilot agents deployed inside your Microsoft 365 tenant.

Private Local AI Gateway

Sends queries to an on-premise local server hosting open-source models (e.g. Llama-3-70B), avoiding all public cloud networks.

Rules-Only Mode (Local & Offline)

Bypasses AI models entirely. Processes statements 100% locally inside the application using static merchant lookup dictionaries, Case Rules, and pattern matching. Zero external API calls.

MANDATORY COMPLIANCE ASSURANCE:

"AccountScope does not require client financial data to be processed using OpenAI."

Section 4 — Security & Governance

AccountScope operates under a comprehensive security program aligned with UK legal sector standards:

- **UK GDPR & DPA Compliance**

Operating as a Data Processor, AccountScope fully complies with the Data Protection Act (DPA) and UK GDPR directives. Pre-signed standard DPAs are available for execution.

- **ICO Registration ZA827103**

AccountScope is formally registered with the UK Information Commissioner's Office (ICO) under active registration ZA827103.

- **PostgreSQL Row-Level Security (RLS)**

Tenant isolation is enforced at the database schema tier. Every query executes with active tenant context; cross-tenant data leakage is structurally blocked.

- **AES-256 & TLS 1.3 Encryption**

All data is encrypted in transit using TLS 1.3. Relational databases, files, and credentials are encrypted at rest using AES-256 with key rotation.

- **Configurable Retention & Auto-Purging**

Firms can set data retention parameters. Original bank statement PDFs can be scheduled to auto-delete after 30, 60, or 90 days.

- **Data Residency (London, UK)**

All database fields, file systems, and backups are hosted exclusively in the AWS London Region (eu-west-2). No data crosses UK borders.

- **Subprocessor Transparency**

We maintain a transparent subprocessor register. Only compliance-audited partners (e.g. AWS, Supabase) are engaged.

COMPLIANCE TIMELINE & ROADMAP:

- Q2 2026: SOC 2 Type I audit initiation (planned roadmap target)
- Q3 2026: ISO 27001 certification preparation initiation (planned roadmap target)

Section 5 — Procurement Readiness

AccountScope provides a streamlined vendor onboarding path for compliance, legal, and IT procurement desks. We assist with detailed vendor risk reviews and ensure zero friction during evaluations:

- **Security Questionnaires:** We assist your compliance desk with standard SIG, HECVAT, or custom vendor risk assessments.
- **Data residency matching:** Select custom database cluster options to match organizational parameters.
- **Subprocessor Audits:** Full transparency on technical subprocessors under contract.
- **Custom DPAs:** Execution of firm-specific data processing addendums is available for private cloud and self-hosted tiers.

Section 6 — Enterprise Support

AccountScope provides dedicated technical resources to ensure successful software implementation, user onboarding, and system configuration:

- **Technical Deployment Guidance:** Direct assistance from a solutions engineer for VPC setup, private DNS routing, and gateway configurations.
- **Dedicated Onboarding Workshops:** Practical training sessions for family law practices, forensic accountants, and caselaw review clerks.
- **Migration Assistance:** Structured import of legacy spreadsheets, client indexes, and custom category lists.
- **Compliance & CISO Workshops:** Interactive security alignment meetings to review encryption parameters and row-level isolation scripts.

CONTACT ACCOUNTSCOPE ENTERPRISE TEAM

For custom VPC deployments, Rules-Only local setups, or standard security audits:

Email: enterprise@accountscope.app | **SLA Response:** Under 4 Hours